

# Audit and Governance Committee

## Agenda

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**Date:** Thursday, 10th March, 2022  
**Time:** 10.30 am  
**Venue:** Council Chamber, Municipal Buildings, Earle Street, Crewe  
CW1 2BJ

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**PLEASE NOTE –This meeting is open to the public and anyone attending this meeting will need to wear a face covering upon entering and leaving the venue. It is advised that this only be removed when speaking at the meeting.**

**The importance of undertaking a lateral flow test in advance of attending any committee meeting.** Anyone attending is asked to undertake a lateral flow test on the day of any meeting before embarking upon the journey to the venue. Please note that it can take up to 30 minutes for the true result to show on a lateral flow test. If your test shows a positive result, then you must not attend the meeting, and must follow the advice which can be found here:

[https://www.cheshireeast.gov.uk/council\\_and\\_democracy/council\\_information/coronavirus/testing-for-covid-19.aspx](https://www.cheshireeast.gov.uk/council_and_democracy/council_information/coronavirus/testing-for-covid-19.aspx)

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The agenda is divided into 2 parts. Part 1 is taken in the presence of the public and press. Part 2 items will be considered in the absence of the public and press for the reasons indicated on the agenda and at the top of each report.

It should be noted that Part 1 items of Cheshire East Council decision making meetings are audio recorded and the recordings will be uploaded to the Council's website.

### **PART 1 – MATTERS TO BE CONSIDERED WITH THE PUBLIC AND PRESS PRESENT**

1. **Apologies for Absence**

To receive any apologies for absence.

2. **Declarations of Interest**

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For requests for further information

**Contact:** Helen Davies

**Tel:** 01270 685705

**E-Mail:** helen.davies@cheshireeast.gov.uk

To provide an opportunity for Members and Officers to declare any disclosable pecuniary and non-pecuniary interests in any item on the agenda.

3. **Public Speaking Time/Open Session**

In accordance with paragraphs 2.24 of the Council's Committee Procedure Rules and Appendix on Public Speaking a total period of 15 minutes is allocated for members of the public to put questions to the committee on any matter relating to this agenda. Each member of the public will be allowed up to two minutes each to speak, and the Chair will have discretion to vary this where they consider it appropriate.

Members of the public wishing to speak are required to provide notice of this at least three clear working days' in advance of the meeting and should include the question with that notice.

4. **Minutes of Previous Meeting** (Pages 5 - 12)

To approve as a correct record the minutes of the meeting held on 17 January 2022.

5. **Action Tasks** (Pages 13 - 16)

To review the action tasks that emerged from the meeting on 17 January 2022.

6. **External Audit Progress and Update Report**

To receive a verbal update on the External Audit Progress.

7. **Certification of Grants and Claims** (Pages 17 - 20)

To consider the Certification of Grants and Claims.

8. **Internal Audit Plan 2022/23** (Pages 21 - 42)

To receive and approve the Summary Internal Audit Plan for 2022/23.

9. **Member Code of Conduct Sub-Committee Report (HPC)** (Pages 43 - 60)

To receive a summary of recent Member Code of Conduct complaints and associated investigations involving elected members of Handforth Parish Council.

Page 16 of the report contains exempt information as defined in paragraphs 3 and 4 of Part 1 of Schedule 12A to the Local Government Act 1972. It may therefore be necessary for the Committee to exclude the press and public in order to consider this page.

10. **Risk Management Update** (Pages 61 - 90)

To consider the update on the Strategic Risk Register for the period Quarter 3 2021-22.

11. **Appointments** (Pages 91 - 94)

To receive an update to the Committee on the appointment of co-opted independent members to the Audit and Governance Committee, and a recommendation from the appointments panel.

12. **Upheld Complaints to the Local Government Ombudsman** (Pages 95 - 102)

To consider the Decision Notices issues by the Local Government Ombudsman.

13. **Standing Item: Work Plan 2021/22 and 2022/23** (Pages 103 - 114)

To consider the Work Plan 2021/22 and 2022/23.

**Membership:** Councillors R Bailey, C Bulman, B Evans, A Harewood, M Houston, D Marren (Vice-Chair), P Redstone, M Sewart and M Simon (Chair)